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PTO/SB/21 (08-00)

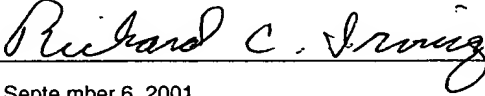
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/255,737	
	Filing Date	February 23, 1999	
	First Named Inventor	Jennifer MEAD	
	Group Art Unit	2164	
	Examiner Name	J. Bergin	
Total Number of Pages in This Submission		Attorney Docket Number	03797.77744

ENCLOSURES (check all that apply)				
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):		
<table border="1"><tr><td>Remarks</td><td></td></tr></table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Richard C. Irving, Reg. No. 38,499 - Banner & Witcoff, Ltd.
Signature	
Date	September 6, 2001

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
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☒ PATENT ☐ DESIGN B&W Ref. 03797.77744 Date 9-6-01

☐ HAND CARRY Group/Section: _____ Bldg: _____ Rm: _____

Serial/Patent No. 091255873T Atty/Sec RCI/slg

Inventor Samir MEAD et al Client Microsoft

Title System & Method for Providing Automated Investment Alerts from Multiple Data Sources

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

☐ total pp Spec., including: # of Claims _____

☐ Sequence Listing: ☐ Diskette ☐ Paper

☐ (# of independent claims _____): ☐ Abstract: _____

☒ Amendment ☐ Response: OA dtd 6-6-01

☐ Drawings: ☐ Formal ☐ Informal _____

☐ Petition for Extension of Time until: _____

of distinct sheets _____: Figs: _____

☐ CPA ☐ RCE ☐ w/Ext of Time: OA dtd _____

☐ Declaration/Power of Attorney: ☐ Executed ☒ Unexecuted _____

☒ Request for Approval of Drawing Changes _____

☐ Assignment w/PTO Cover Sheet: _____

☐ Notice of Appeal & Fee _____

☐ IDS w/PTO 1449 ☐ References: ☐ w/Fee _____

☐ Brief: ☐ Appeal & Fee ☐ Reply (2)

☐ Preliminary Amendment _____

☐ Request for Oral Hearing _____

☐ Priority Claim (Foreign or U.S. Provisional: B&W# _____)

Issue Fee ☐ Advance Patent Copies (# ordered: _____)

Notice of Allowance dtd: _____

Country _____ Appl. # _____

☐ w/Foreign Priority Document(s) _____

☐ Amendment under 37 CFR 1.312 _____

☐ Application: ☐ CIP ☐ Continuation ☐ Divisional _____

☐ Request for Certificate of Correction _____

Parent Ser. No. _____ B&W# _____

☒ Transmittal ☒ Fee Transmittal w/Auth: to Charge Deposit Acct.

☐ Certificate of Mailing: _____

☐ U.S. Provisional: _____ pp Spec/Claims; Cover Sheet _____

☐ Check # _____ for \$ _____

☐ Response to Missing Parts/Requirements dtd _____

☐ Response to Notice to File Corrected Appl: Papers dtd _____

☐ Request for Expedited Foreign Filing License _____

☐ Request for Corrected: ☐ Filing Receipt ☐ Assignment _____

☐ Response to Restriction/Election Requirement _____

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B&W Rev. 501

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GROUP 3600

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/255,737

Filing Date February 23, 1999

First Named Inventor Jennifer MEAD

Examiner Name J. Bergin

Group / Art Unit 2164

Attorney Docket No. 03797.77744

TOTAL AMOUNT OF PAYMENT (\$ 330.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 19-0733

Deposit Account Name BANNER & WITCOFF, LTD.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	5	18	90.
Independent Claims	3**	80	240.
Multiple Dependent			0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 330.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Richard C. Irving

Registration No. Attorney/Agent

38,499

Telephone

(202) 508-9100

Signature

Richard C. Irving

Date

September 6, 2001

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